



U.S. Department
of Transportation

Pipeline and
Hazardous Materials
Safety Administration

COMPETENT AUTHORITY CERTIFICATION
FOR A TYPE B(U)F FISSILE
RADIOACTIVE MATERIALS PACKAGE DESIGN
CERTIFICATE USA/9315/B(U)F-96, REVISION 1

East Building, PHH-23
1200 New Jersey Avenue SE
Washington, D.C. 20590

This certifies that the radioactive material package design described has been certified by the Competent Authority of the United States as meeting the regulatory requirements for a Type B(U)F packaging for fissile radioactive material as prescribed in the regulations of the International Atomic Energy Agency¹ and the United States of America².

1. Package Identification - ES-3100.
2. Package Description and Authorized Radioactive Contents - as described in U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9315, Revision 6 (attached).
3. Criticality - The minimum criticality safety index is as described in the NRC Certificate of Compliance. The maximum number of packages per conveyance is determined in accordance with Table X of the IAEA regulations cited in this certificate.
4. General Conditions -
 - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
 - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Hazardous Materials Technology, (PHH-23), Pipeline and Hazardous Materials Safety Administration, U.S. Department of Transportation, Washington D.C. 20590-0001.
 - c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.

¹ "Regulations for the Safe Transport of Radioactive Material, 1996 Edition (Revised), No. TS-R-1 (ST-1, Revised)," published by the International Atomic Energy Agency(IAEA), Vienna, Austria.

² Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

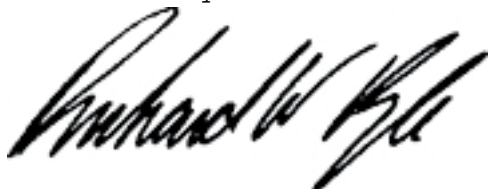
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- d. Records of Quality Assurance activities required by Paragraph 310 of the IAEA regulations¹ shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.
5. Special Conditions -
- a. Transport by air is not authorized, except for shipment of unirradiated TRIGA fuel pellets, as described and limited in Condition No. 5(b)(4) of the NRC Certificate of Compliance.
 - b. The vent holes on the outer steel drum shall be capped closed during transport and storage to preclude entry of rain water into the insulation cavity of the drum.
 - c. The package shall be prepared for shipment and operated in accordance with the Package Operations in Section 7 of the application, as supplemented.
 - d. Each package must meet the Acceptance Tests and Maintenance Program of Section 8 of the application, as supplemented.
 - e. All requirements of Conditions 6 - 12 contained in U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9315, Revision 4 must be met.
6. Marking and Labeling - The package shall bear the marking USA/9315/B(U)F-96 in addition to other required markings and labeling.
7. Expiration Date - This certificate expires on April 30, 2011.

CERTIFICATE USA/9315/B(U)F-96, REVISION 1

This certificate is issued in accordance with paragraph 814 of the IAEA Regulations and Section 173.471 and 173.472 of Title 49 of the Code of Federal Regulations, in response to the September 07, 2007 petition by Department of Energy, Washington, DC, and in consideration of other information on file in this Office.

Certified By:



Sep 11 2007

(DATE)

Robert A. Richard

Deputy Associate Administrator for Hazardous Materials Safety

Revision 1 - issued to endorse U.S. Nuclear Regulatory Commission
Certificate of Compliance No. 9315, Revision 6.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

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2. PREAMBLE

- a. This certificate is issued to certify that the package (packaging and contents) described in Item 5 below meets the applicable safety standards set forth in Title 10, Code of Federal Regulations, Part 71, "Packaging and Transportation of Radioactive Material."
- b. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.

3. THIS CERTIFICATE IS ISSUED ON THE BASIS OF A SAFETY ANALYSIS REPORT OF THE PACKAGE DESIGN OR APPLICATION

- | | |
|---|--|
| a. ISSUED TO (<i>Name and Address</i>)
U.S. Department of Energy
Washington, DC 20585 | b. TITLE AND IDENTIFICATION OF REPORT OR APPLICATION
BWXT Y-12, L.L.C., application dated February 25,
2005, as supplemented |
|---|--|

4. CONDITIONS

This certificate is conditional upon fulfilling the requirements of 10 CFR Part 71, as applicable, and the conditions specified below.

5.

(a) Packaging

- (1) Model No.: ES-3100
- (2) Description

The ES-3100 package is a cylindrical container that is approximately 110 cm (43 in) in overall height and 49 cm (19 in) in overall diameter and is composed of an outer drum assembly and an inner containment vessel. The containment vessel is placed inside the drum and surrounded by a cement based borated neutron absorber, Catalog 277-4. The purpose of the ES-3100 is to transport bulk high enriched uranium in oxide form, uranium metal and alloy, and uranyl nitrate crystals.

The outer drum assembly consists of a reinforced stainless steel, standard mil spec 30-gal drum with an increased length. The volume formed between the drum and the attached inner liner is filled with an inorganic, castable refractory material, Kaolite 1600™, which is comprised of concrete and vermiculite. The Kaolite 1600™ acts as both a thermal insulating and an impact limiting material.

The containment vessel is approximately 82 cm (32 in) in overall height and 13 cm (5 in) in overall diameter and is constructed of 304L stainless steel. The containment boundary consists of the 0.1 in thick containment vessel body and the lid assembly. The lid assembly consists of a sealing lid, a closure nut, and external retaining ring, which holds both the assembly and closure nut together. The double ethylene-propylene elastomer O-rings in the top flange of the containment vessel permit leak testing of the containment vessel. The maximum gross weight of the package, including contents, is 190.5 kg (420 lb).

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5.(a) Packaging (continued)

(3) Drawings

The Model No. ES-3100 package is constructed and assembled in accordance with:

- (i) BWXT Y-12, L.L.C., Drawing No. M2E801580A037, Sheets 1 through 6, Rev. A, "Consolidated Assembly Drawing "
- (ii) BWXT Y-12, L.L.C., Drawing No. M2E801580A026, Rev. C, "Heavy Can Spacer Assembly."
- (iii) Equipment Specification JS-YMN3-801580-A001, Rev. E, "ES-3100 Containment Vessel."
- (iv) Equipment Specification JS-YMN3-801580-A002, Rev. D, "ES-3100 Drum Assembly."
- (v) Equipment Specification JS-YMN3-801580-A003, Rev. C, "Manufacturing Process Specification for Casting Kaolite 1600™ into the ES-3100 Shipping Package."
- (vi) Equipment Specification JS-YMN3-801580-A005, Rev. E, "Casting Catalog No. 277-4 Neutron Absorber for the ES-3100 Shipping Package."

5.(b) Contents (Type and form of material, maximum quantity of material per package, and Criticality Safety Index (CSI)).

The weight of the radioactive contents, convenience containers, can lift attachments, polyethylene bags, spacers, and other material in the containment vessel shall not exceed 90 lb. The maximum mass of hydrogenous packaging materials in the containment vessel (e.g., polyethylene containers or bagging, silicone rubber pads, etc.) shall not exceed 500 grams. The maximum content decay heat load shall not exceed 0.4 watts.

The concentration limits of uranium and transuranic constituents shall be the following:

Isotope	Maximum Concentration
U-232	0.040 µg/gU ^a
U-233	0.006 g/gU ^b
U-234	0.02 g/gU
U-235	1.00 g/gU
U-236	0.40 g/gU
Transuranics (except Np)	40.0 µg/gU
Np-237	0.003 g/gU

^a µg/gU = 10⁻⁶ grams per gram of total uranium

^b g/gU = grams per gram of total uranium

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5.(b) Contents (continued)

- (1) Uranium as solid metal or alloy, packaged in stainless-steel or tin-plated carbon steel convenience cans.

The maximum uranium enrichment is 100 weight percent U-235.

For contents that must be shipped with spacers, the spacers must be in accordance with BWXT Y-12, L.L.C., Drawing No. M2E801580A026 and Equipment Specification JS-YMN3-801580-A005, as specified in Condition No. 5.(a)(3). The quantity of fissile material in any convenience can shall not exceed one third of the mass loading limit per package for that content. Spacers must be positioned between every two convenience cans.

- (i) For metal and alloy in the form of solid geometric shapes, meeting the following restrictions, mass limits are listed in Table 1. Contents not meeting the following restrictions must be shipped as broken metal (see Condition No. 5.(b)(1)(ii)).
- (A) Spheres having a diameter no larger than 3.24 in
(maximum of two spheres per convenience can)
 - (B) Cylinders having a diameter no larger than 3.24 in
(maximum of one cylinder per convenience can)
 - (C) Square bars having a cross section no larger than 2.29 in × 2.29 in
(maximum of one bar per convenience can)
 - (D) Slugs having dimensions of 1.5 in diameter × 2 in tall
(maximum of 10 slugs per convenience can)

Table 1: Loading Limits for Metal and Alloy in Solid Geometric Shapes

Solid uranium metal or alloy (specified geometric shapes)	Uranium Enrichment (weight percent U-235)	CSI	With Spacers Maximum Mass U-235 (kg)		No Spacers Maximum Mass U-235 Per Package (kg)
			Per Convenience Can	Per Package	
Spheres	≤ 100	0.0	0.000	0.0	0.0
Cylinders	≤ 100	0.0	6.000	18.000	12.000
Sq. Bars	≤ 100	0.0	10.000	30.000	18.000
Slugs	> 80	0.0	5.447	16.342	Spacer req'd
Slugs	≤ 80	0.0	8.738	26.213	Spacer req'd

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5.(b)(1) Contents (continued)

- (ii) For metal and alloy defined as broken metal, mass limits are specified in Table 2. Uranium metal and alloy pieces must have a surface-area-to-mass ratio of not greater than 1.00 cm²/g or must not pass freely through a 3/8-inch (0.0095m) mesh sieve. Particles and small shapes that do not pass this size restriction, as well as powders, foils, turnings, and wires, are not permitted, unless they in a sealed container under an inert cover gas. Uranium material or alloy which has been stored in or come in contact with water is not authorized to be shipped in this package.

Table 2: Loading Limits for Solid Metal or Alloy in the Form Defined as Broken Metal

Uranium Enrichment (weight percent U-235)	CSI	With Spacers Maximum Mass U-235 (kg) ^a		No Spacers Maximum Mass U-235 Per Package (kg) ^a
		Per Convenience Can	Per Package	
> 95 and ≤ 100	0.0	0.925	2.774	Spacer req'd
	0.4	1.849	5.548	Spacer req'd
	0.8	2.774	8.323	Spacer req'd
	2.0	3.699	11.097	Spacer req'd
> 90 and ≤ 95	0.0	0.879	2.637	Spacer req'd
	0.4	1.758	5.274	Spacer req'd
	0.8	3.516	10.549	Spacer req'd
	2.0	5.568	16.703	Spacer req'd
> 80 and ≤ 90	0.0	0.833	2.500	Spacer req'd
	0.4	2.500	7.500	Spacer req'd
	0.8	3.333	10.000	Spacer req'd
	2.0	5.278	15.834	Spacer req'd
> 70 and ≤ 80	0.0	0.742	2.225	2.225
	0.4	2.967	8.900	4.450
	0.8	0.000	0.0	0.0
	2.0	7.911	23.734	0.0

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5.(b)(1) Contents (continued)

Table 2: Loading Limits for Solid Metal or Alloy in the Form Defined as Broken Metal (Continued)

Uranium Enrichment (weight percent U-235)	CSI	With Spacers Maximum Mass U-235 (kg) ^a		No Spacers Maximum Mass U-235 Per Package (kg) ^a
		Per Convenience Can	Per Package	
> 60 and ≤ 70	0.0	0.000	0.0	1.949
	0.4	4.115	12.346	0.0
	0.8	6.931	20.793	16.245
	2.0	8.231	24.692	24.692
≤ 60	0.0	3.718 kgU	11.153 kgU	5.576 kgU
	0.4	0.0 kgU	0.0 kgU	0.0 kgU
	0.8	11.773 kgU	35.320 kgU	0.0 kgU
	2.0	11.773 kgU	35.320 kgU	35.320 kgU

^a All limits are expressed in kg U-235 unless specified as kgU, which means kilograms of total uranium.

- (2) Uranium as oxide, which may include UO₂, UO₃, and U₃O₈, packaged in stainless-steel, tin-plated carbon steel, or nickel-alloy convenience cans, or polyethylene bottles. The physical form of all contents is dense, loose powder which may contain clumps and pellets. Moisture content in oxide is limited to 3 weight percent water. Carbide compounds are not authorized. The mass limit shall be 24.0 kg of oxide, with a maximum mass of 21.124 kg U-235, with a CSI of 0.0. The maximum uranium enrichment is 100 weight percent U-235. No spacers are required in the containment vessel.
- (3) Solid uranyl nitrate in the form of uranyl nitrate crystals, [UO₂(NO₃)₂·xH₂O, where x is ≤ 6]. Uranyl nitrate crystals must be contained in a non-metallic convenience container (such as polyethylene bottles). The mass limit shall be 0.0 kg of uranyl nitrate crystals, with a maximum mass of 0.0 kg U-235, with a CSI of 0.0. The maximum uranium enrichment is 100 weight percent U-235. No spacers are required in the containment vessel.

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5.(b) Contents (continued)

- (4) Unirradiated TRIGA fuel pellets (sections). The fuel is composed of uranium zirconium hydride (UZrH). The uranium concentration in the fuel is a nominal 8.5 weight percent, and the maximum H to Zr ratio in the fuel is 2.0. The maximum uranium enrichment is 70 weight percent U-235. The fuel sections may be from any of three types of fuel elements: standard fuel elements, instrumented standard fuel elements, and fuel follower control rods. The U-235 mass for standard and instrumented fuel elements is a nominal 136 grams per element, and the U-235 mass for fuel follower control rods is a nominal 112 grams per element. Each fuel element contains three fuel sections, either stainless steel clad or unclad. The fuel elements are approximately 15 inches in length, with sections approximately 5 inches in length; the approximate diameter of the fuel is 1.44 inches for the standard and instrumented fuel elements, and 1.31 inches for the fuel follower control rods. The fuel elements and sections are packaged within stainless steel or tin-plated carbon steel convenience cans, with a maximum of three fuel sections or three fuel elements per convenience can. Fuel sections from different fuel elements may not be mixed within a single convenience can. A maximum of three convenience cans may be loaded into a single package. No spacers are required. The maximum quantity of fissile material per package is 408 grams U-235. The CSI is 0.0.
6. The vent holes on the outer steel drum shall be capped closed during transport and storage to preclude entry of rain water into the insulation cavity of the drum.
7. Content forms may not be mixed in a single ES-3100 containment vessel.
8. Any combination of convenience can sizes is allowed in a single package, as long as the total height of the can stack (including silicone rubber pads and spacers, if required) does not exceed the inside working height of the containment vessel (31 in). Any closure on the convenience can is allowed.
9. Empty convenience cans, spacers, silicone rubber pads, and/or stainless-steel scrubbers (i.e., stainless steel trimmings that act as dunnage) may be used to fill the void space in the containment vessel. Empty convenience cans must have a minimum 0.125 in diameter hole through the lid.
10. The contents and the convenience cans may be bagged or wrapped in polyethylene for contamination control provided the limits of Condition No. 5.(b) are met.
11. Transport by air is not authorized, except for shipment of unirradiated TRIGA fuel pellets, as described and limited in Condition No. 5(b)(4).
12. In addition to the requirements of Subpart G of 10 CFR Part 71:
 - (a) The package shall be prepared for shipment and operated in accordance with the Package Operations in Section 7 of the application, as supplemented.
 - (b) Each package must meet the Acceptance Tests and Maintenance Program of Section 8 of the application, as supplemented.

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13. The package authorized by this certificate is hereby approved for use under the general license provisions of 10 CFR 71.17.
14. Revision 5 of this certificate may be used until September 30, 2008.
15. Expiration date: April 30, 2011.

REFERENCES

BWXT Y-12, L.L.C., application dated February 25, 2005, as supplemented.

BWXT Y-12, L.L.C., supplements dated April 27, May 26, August 15, 2005; and January 9, February 6, March 20, May 8, June 6, July 18, August 21 and 24, and October 26, 2006; and January 19, January 31, February 22, April 11, April 26, May 30, June 27 (2 supplements on this date), August 8, 28, and 30, 2007.

FOR THE U.S. NUCLEAR REGULATORY COMMISSION



Robert A. Nelson, Chief
Licensing Branch
Division of Spent Fuel Storage and Transportation
Office of Nuclear Material Safety
and Safeguards

Date: September 6, 2007



U.S. Department
of Transportation

Pipeline and
Hazardous Materials
Safety Administration

East Building, PHH-23
1200 New Jersey Avenue SE
Washington, D.C. 20590

CERTIFICATE NUMBER: USA/9315/B(U)F-96, Revision 1

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